PTC/SB/08A (10-01)

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	Substitute for	form 1449/	VPTO	Complete if Known			
INFO	DRAKTIO	N DIE	CI OSLIDE	Application No.	10/697,906		
INFORMATION DISCLOSURE				Filing Date	October 29, 2003		
STATEMENT BY APPLICANT		First Named Inventor	Albert K. Chin				
				Art Unit	Not Yet Known		
				Examiner Name	Not Yet Known		
Jinger .	1	of	3	Attorney Docket Number	23488-07961		

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	8		Document No.		
RAGES	RADES		Number – Kind Code <sup>2</sup> (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
SDY	31	A1	US-3,870,048	03-11-1975	Yoon
1		A2	US-4,181,123	01-01-1980	Crosby
		А3	US-4,291,707	09-29-1981	Heilman et al.
		A4	US-4,319,562	03-16-1982	Crosby
		A5	US-4,765,341	08-23-1988	Mower et al.
		A6	US-4,991,578	02-12-1991	Cohen
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		A16	US-5,464,447	11-07-1995	Fogarty et al.
		A17	US-5,618,287	04-08-1997	Fogarty et al.
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		A19	US-5,716,392	02-10-1998	Bourgeois et al.
		A20	US-5,728,148	03-17-1998	Bostrom et al.
		A21	US-5,755,764	05-26-1998	Schroeppel
		A22	US-5,827,216	10-27-1998	Igo et al.
		A23	US-5,897,586	04-27-1999	Molina
V		A24	US-5,972,013	10-26-1999	Schmidt
EDDI	3	A25	US-6,102,046	08-15-2000	Weinstein et al.

Examiner Signature	ENDE	Date Considered	9/26/05	
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

'Applicant's unique cliation designation number (optional). \*See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. \*Enter Office that Issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST, 18 if possible. Applicant is to place a check mark here if English language Translation is attached.

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THADE	Substitute for	form 1449A	/PTO		Complete if Known	
INFO	DRAATIO!	N DISC	CLOSURE	Application No.	10/697,906	
		-		Filing Date	October 29, 2003	
SIAI	STATEMENT BY APPLICANT			First Named Inventor	Albert K. Chin	
•				Art Unit	3731	
•		•		Examiner Name	Not Yet Known	•
Sheet	1	of	. 1	Attorney Docket Number	23488-07961	

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	<u> </u>	Document No.							
Examiner Initials*	Cite No.	Number – Kind Code <sup>2</sup> (if known)	Date MM-YYYY	Name of Applicant of t	Patentee or Cited Document				
2DB		5,569,183	12 1996	Kieturakis, Maciej J.	1996.10				
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		,	FOREIGN PATENT	DOCUMENTS	
		Foreign Patent Document			
Examiner Initials*	Cite No.'	Country Code <sup>3</sup> – Number <sup>4</sup> Kind Code <sup>6</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	
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		OTHER REFERENCES - NON-PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.'	include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	70

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<sup>&#</sup>x27;EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

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<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. <sup>3</sup>Enter Office that Issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the Indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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	Substitute for	form 1449A	PTO	Complete if Known			
INITO	DRATIO	N DISC	CLOSURE	Application No.	10/697,906		
• • • • • •				Filing Date	October 29, 2003		
STATEMENT BY APPLICANT				First Named Inventor	Albert K. Chin		
				Art Unit	3731		
				Examiner Name	Not Yet Known		
Sheet	2	of	7	Attorney Docket Number	23488-07961		

			U.S. PAT	ENT DOCUMENTS
		Document No.		
Examiner Initials*	Cite No.	Number – Kind Code² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
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1 .	A27	US-5,772,680	08-30-1998	Kleturakis et al.
	A28	US-5,762,604	08.09.1998	Xieturakis
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	A35	US-5,695,504	12-09-1997	Gifford, III et al.
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	A45	US-5,607,441	03-04-1997	Sierocuk et al.
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Signature	21800.	CONSIDERCE	4/20/00

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<sup>&#</sup>x27;Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 18 if possible. Applicant is to place a check mark here if English language Translation is attached.

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	Substitute for	form 1449A	/PTO	Complete If Known			
INCO	DRIATIO	N DIC	CLOSURE	Application No.	10/697,906		
				Filing Date	October 29, 2003		
STATEMENT BY APPLICANT			PLICANT	First Named Inventor	Albert K. Chin		
				Art Unit	3731		
				Examiner Name	Not Yet Known		
Sheet	4	of	7	Attorney Docket Number	23488-07961		

			U.S. PATI	ENT DOCUMENTS
		Document No.		
Examiner Initials*	Cite No.1	Number – Kind Code <sup>2</sup> (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
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1	A77	U\$-4,493,711	01-15-1985	en in
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	A80	US-4,271,839	08-09-1981	Fogarty et al.
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	A82	US-RE29.088	12-28-1978	Shaw
	A83	US-3,934,115	01-20-1976	Peterson
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Oraw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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<sup>&#</sup>x27;Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4For Japanese patent documents, the Indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449A/PTO Complete if Known Application No. 10/697,906 INFORMATION DISCLOSURE Filing Date October 29, 2003 STATEMENT BY APPLICANT First Named Inventor Albert K. Chin Art Unit 3731 **Examiner Name** Not Yet Known Sheet Attorney Docket Number 23488-07961

			FOREIGN PA	TENT DOCUMENTS	
		Foreign Patent Document			
Examiner Initials*	Cite No.1	Country Code <sup>3</sup> – Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	To
SDB	B1	DE 39 42 589	22.12.1989	Olympus Optical Co.	
1	B2	EP 0791330 A2	08/27/1997	Cardiothoracic Systems, Inc.	
	В3	EP 0095727A1	12-07-1983	Purdue Research Foundation	
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<del>- 47 -</del>	B15	WO 96/32882	10/24/1996	Heartport, Inc.	
55B	B16	WO 96/00038	01-04-1996	Cormedics Corp.	

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Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), tille of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city end/or country where published	T°	
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50%	C2	BENETTI, Federico, et al., "Video Assisted Coronary Bypass Surgery", J Card Surgery, 1995, pp. 620-625		
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